

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Arkhipov et al.	)	I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313, on Date)  John M. Carson, Reg. No. 34,303
App. No.	:	10/753,091	)	
Filed	:	January 7, 2004	) )	
For	:	INTEGRATED OPTICAL DEVICE AND METHOD OF MAKING THE SAME	)	
Group Art Unit	:	2883	)	

#### TRANSMITTAL LETTER

## UNITED STATES PATENT AND TRADEMARK OFFICE P.O. Box 1450 Alexandria, VA 22313

Sir:

Transmitted herewith in the above-identified application:

(X) Certified copy of European Application No:

03447004.7 filed January 10, 2003

- (X) Return prepaid postcard.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

John M. Carson Registration No. 34,303 Attorney of Record Customer No. 20,995 (619) 235-8550

### THIS PAGE BLANK (USPTO)



Europäisches **Patentamt** 

European **Patent Office**  Office européen des brevets



Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application conformes à la version described on the following page, as originally filed.

Les documents fixés à cette attestation sont initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet nº

03447004.7

Der Präsident des Europäischen Patentamts; Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets p.o.

R C van Dijk

## THIS PAGE BLANK (USPTO,



European Patent Office Office européen des brevets



Anmeldung Nr:

Application no.:

03447004.7

Demande no:

Anmeldetag:

Date of filing: 10.01.03

Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

INTERUNIVERSITAIR MICROELEKTRONICA CENTRUM ( IMEC)
Kapeldreef 75
3001 Leuven
BELGIOUE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention: (Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung. If no title is shown please refer to the description.

Si aucun titre n'est indiqué se referer à la description.)

Optical device

In Anspruch genommene Prioriät(en) / Priority(ies) claimed /Priorité(s) revendiquée(s)
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/Classification internationale des brevets:

G02B/

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES'FI FR GB GR HU IE IT LU MC NL PT SE SI SK TR LI

# HIS PAGE BLANK (USPTO)

OPTICAL DEVICE

#### Field of the invention

[0001] The present invention is related to the fields of fibre optics and flexible, preferably organic, 10 light-emitting diodes.

#### State of the art

5

[0002] Coupling optics is usually required to couple the light produced by a Lambertian light source into an optical fibre, because the fibre has a limited numerical 15 aperture. An example of a Lambertian light source is a Light Emitting Diode (LED). An example of a method for coupling light produced by a light-source (LED) disclosed is in JP2001078961, wherein originating from different LEDs is coupled into a fibre by 20 focusing means. In DE10054552 light produced by a LED is coupled into a fibre by using a lens.

#### Aims of the invention

- 25 [0003] The present invention aims to provide an integrated optical device, comprising an optical fibre pumped by an integrated flexible light source positioned coaxially with the optical fibre and whereby no optical coupling devices are required.
- 30 [0004] It is a further aim of the invention to provide an integrated optical device wherein an optical fibre is pumped with an essentially coaxial organic lightemitting diode.

[0005] It is a further aim of the invention to provide an integrated optical device that can be used to generate optical signals.

[0006] It is a further aim of the invention to provide an integrated optical device that can be used to amplify or regenerate optical signals (also called repeater).

[0007] It is a further aim of the invention to provide an integrated optical device that, at higher excitation intensities, represents a fibre laser.

[0008] It is a further aim of the invention to provide an integrated optical device, comprising optical cable and light source that can be manufactured in the same technological process.

15 [0009] It is a further aim of the invention to provide an integrated optical device, which can be used for introscopic, for instance endoscopic, purposes.

#### Summary of the invention

10

- 20 [0010] The present invention is related to an optical device, comprising:
  - an optical cable, having an axial symmetry, comprising a core, doped with phosphorescent or fluorescent impurities, and a transparent envelope;
- 25 said transparent envelope further comprising a cladding layer and optionally a jacket layer, surrounding said cladding layer;
  - an associated light source, comprising:
    - an inner electrode,
- 30 an outer electrode, and
  - an active area, located between said inner electrode and said outer electrode;

wherein said light source and said optical cable are integrated, said light source has an axial symmetry and is

positioned coaxially to said optical cable, and said inner electrode is transparent, such that light, generated in said active area can propagate outside said light source and into said optical cable.

- 5 [0011] Advantageously, said outer electrode is reflective.
  - [0012] Advantageously, said light-source is flexible, and can be a mono- or multi-layer organic light-emitting diode (OLED).
- 10 [0013] According to a first embodiment, said outer electrode is transparent and said light-source comprises an additional outer layer which is reflective.
- [0014] Advantageously, the device according to the invention further comprises mirrors on both sides of the optically pumped region, one mirror being not transparent, the other mirror being partially transparent. Advantageously, said device is a laser.
  - [0015] Preferably, the efficiency of absorption of light in said core, said light produced by said light source, is a function of Pe/Pc, and advantageously, said efficiency is controlled by choosing Pe/Pc appropriately.
    - [0016] The device according to the invention can be used as an optical signal generator, and advantageously, said optical signal is constant.
- 25 [0017] The device according to the invention can also be used as an optical signal amplifier or repeater.
  [0018] The device according to the invention can be used for introspection or endoscopy.

#### 30 Short description of the drawings

20

[0019] Fig. 1 represents axial and radial cross sections of the first embodiment of the present invention, with a fibre (1), comprising a fibre core (11) embedded into a transparent envelope, comprising a cladding layer

(12) and transparent jacket layer (13) (optional), said envelope being coated by an essentially coaxial organic light-emitting diode (2) comprising a transparent inner electrode (21), an active area (23) and a reflecting metallic outer electrode (22), and a power supply (3).

[0020] Fig. 2 illustrates the second embodiment of the present invention, an OLED-pumped dye-doped fibre laser, where the OLED pumped region is enclosed between grating mirrors. Here the optional jacket layer is not depicted.

#### Description of a preferred embodiment of the invention

10

of the present embodiment first In [0021] invention represented in Fig.1, a novel optical device comprising an optical cable or fibre 1 and an associated 15 structure 2,3 is disclosed. It involves an optical fibre 1 pumped by a co-axial flexible light-emitting diode 2 (LED). The fibre can be a plastic optical fibre, a glass fibre, or another type of optical fibre. Optical fibres are known to be flexible. An optical cable or fibre 1 comprises an 20 organic or inorganic core 11 doped with either fluorescent (preferably phosphorescent impurities, called dyes organic dyes or metals, preferably rare earth metals like located etc.) and Europium, Terbium, Erbium, The envelope comprises transparent envelope 12,13. 25 cladding layer 12 and optionally an additional jacket layer 13 (part of the envelope and thus transparent). The jacket layer can improve the adhesion between the envelope and the flexible light emitting diode and can increase mechanical strength of the fibre. 30

[0022] In a first aspect of the first embodiment of the present invention, the envelope is coated with a coaxial mono- or multi-layer organic light-emitting diode 2. OLEDs have three prominent features namely (i) easy

production of OLEDs with large active areas, possibility of producing non-planar light-emitting surfaces, and (iii) flexibility of OLEDs comparable with or even exceeding that of optical cables. It is the unique 5 combination of those properties that allows to integrate an essentially coaxially with an optical described in the present invention. The OLED according to the present invention has a transparent inner electrode 21 (e.g. Indium Tin Oxide (ITO)). The OLED has a reflective, 10 preferably metallic (e.g. Al, Ca, Ag, Au,...), outer electrode 22. The active area 23 can be a mono layer or a multi layer structure. The active layer(s) can be made of organic materials, such as for example conjugated polymers as for instance polyphenylenevinylene (PPV) and derivatives thereof. The active layer(s) can also be made of small 15 organic molecules as for instance Alq, .

[0023] As shown in Fig. 1, light emitted by the OLED can penetrate the optically passive transparent electrode 21 and envelope 12,13 and can excite dye 20 molecules in the core 11 of the optical fibre. The light that does not pass through the fibre core 11 traverses the envelope 12,13 and the OLED stack of layers until it can be reflected by the outer reflecting electrode 22 of the OLED. Due to the Stokes shift the emitted light cannot be 25 strongly absorbed in the active area 23 of the OLED. Also, the envelope 12,13 is essentially transparent for this light. Therefore, multiple reflections are possible before the light ultimately penetrates into the fibre core 11. These multiple reflections enhance the light flux that finally penetrates into the fibre core 11 as compared to 30 the light flux emitted by the OLED surface along the direction to the fibre core. The light emitted by the OLED can be advantageously chosen to lie in the absorption band

of dye dopants that are incorporated in the fibre core 11. Within the fibre core 11, the OLED-pumped light therefore excites said dye dopants that subsequently emit photons along various directions. A fraction of the light emitted by said dopants will be wave-guided within the optical fibre.

[0024] At low excitation intensities, a part of the light waves emitted by dopants via spontaneous fluorescence or phosphorescence will satisfy the condition of full internal reflectance at the boundary between core 11 and cladding 12 and will be guided along the fibre.

10

15

[0025] Enhanced emission causes stimulated emission in the fibre core 11 and the integrated device can be used for optical signal generation, regeneration and amplification.

present embodiment of the second In а [0026] invention, shown in Fig. 2, mirrors 41, 42 are applied to both ends of the pumped fibre region. Such mirrors can be formed by technologies known to a person skilled in the art, for example by etching a pair of fibre phase gratings 20 into the core 11 of the fibre that act like Bragg gratings or by depositing a reflective material on cleaved fibre ends. One of both mirrors can be partially transparent 42. As the intensity of the light absorbed in the fibre core 11 reaches the threshold for stimulated emission to occur, 25 optical gain can be provided into the cavity formed between the mirrors 41,42. This threshold can be reached at higher light fluxes generated by the OLED. Lasing output 5 can then be achieved in the OLED-pumped doped fibre.

30 [0027] An aspect of the first and second embodiments of the present invention is that the ratio of maximum pumping light flux that finally penetrates into the fibre core to the light flux emitted by the OLED surface (called efficiency) can be modelled as a function of the ratio of

the perimeter of the envelope 12,13 to the perimeter of the core 11, Pe/Pc. This ratio can be designed to be one or several orders of magnitude by varying the radii of the core (Rc) and the envelope (Re). To a certain extend, the invention thus allows to control the amount of flux of light absorbed in the fibre core 11.

Another aspect of the first and embodiments of the present invention is that theoretically some light rays of the pumping OLED can resonate in a mode that never traverses the core of the fibre. The presence of 10 such modes can be maximally avoided, resulting in a further increased light flux of pumping light in the fibre core. In order to avoid the presence of modes that do not cross the fibre core, imperfections in the coaxial arrangement of the OLED with respect to the fibre core can be introduced. 15 practice such imperfection, although small, are imperfections present. These can also be created purpose, by for instance roughening the outer surface of envelope before deposition of the OLED, 20 roughening at least 1 layer which is part of the integrated optical device, before adding the next layer(s). In those cases, the surface structure of the outer reflecting layer changes the angle of propagation at every reflection.

[0029] Yet another aspect of the first and second embodiments of the present invention, the OLED device has a transparent outer electrode and comprises an additional outer layer on top of the outer electrode, which is reflective. Light produced by the OLED can then pass through the transparent outer electrode and reflect from this additional reflective outer layer.

[0030] Yet another aspect of the present invention is that the light-source can increase mechanical strength of the optical cable.

The optical devices according to the first and second embodiments of the present invention can be produced by rotating the optical fibre (for which production in known in the art) while adding deposition material on the fibre in a controlled way such that homogeneous layers can be achieved.

[0032] The optical devices according to the present invention can be used for introscopic purposes as for instance endoscopic purposes.

#### CLAIMS

- 1. Optical device, comprising:
- an optical cable (1), having an axial symmetry, comprising a core (11), doped with phosphorescent or fluorescent impurities, and a transparent envelope
- said transparent envelope (12,13) further comprising a cladding layer (12) and optionally a jacket layer (13), surrounding said cladding layer (12);
- 10 an associated light source (2), comprising:
  - an inner electrode (21),
  - an outer electrode (22), and
  - an active area, located between said inner electrode and said outer electrode (23);

#### 15 wherein

5

(12,13);

- said light source (2) and said optical cable (1) are integrated;
- said light source (2) has an axial symmetry and is positioned coaxially to said optical cable (1); and
- 20 said inner electrode (21) is transparent, such that light, generated in said active area (23) can propagate outside said light source (2) and into said optical cable (1).
- Device according to claim 1, wherein said
   outer electrode (22) is reflective.
  - 3. Device according to claim 1 to 2, wherein
    said light-source (2) is flexible.
- 4. Device according to claim 1 to 3, wherein said light-source is a mono- or multi-layer organic light-30 emitting diode (OLED).
  - 5. Device according to claim 1, 3 and 4, whereby said outer electrode (22) is transparent and said light-source comprises an additional outer layer which is reflective.

- 6. Device according to claim 1 to 5, further comprising mirrors (41,42) on both sides of the optically pumped region, one mirror being not transparent (41), the other mirror being partially transparent.
- 7. Device according to claim 1 to 6, wherefore the efficiency of absorption of light in said core (11), said light produced by said light source (2), is a function of Pe/Pc.
- 8. Device according to claim 7, wherefore 10 said efficiency is controlled by choosing Pe/Pc appropriately.
  - 9. Device as recited in claim 1 to 5, used as an optical signal generator.
- 10. Device as recited in claim 9, whereby
  15 said optical signal is constant.
  - 11. Device as recited in claim 1 to 5, used as an optical signal amplifier or repeater.
  - 12. Device according to claim 6, wherein said device is a laser.
- 13. Device according to claim 1 to 12, used for introspection.
  - 14. Device according to claim 1 to 13, used for endoscopy.

#### ABSTRACT

#### OPTICAL DEVICE

- 5 The present invention is related to an optical device, comprising:
  - an optical cable, having an axial symmetry, comprising a core, doped with phosphorescent or fluorescent impurities, and a transparent envelope;
- 10 said transparent envelope further comprising a cladding layer and optionally a jacket layer, surrounding said cladding layer;
  - an associated light source, comprising:
    - an inner electrode,
- an outer electrode, and
  - an active area, located between said inner electrode and said outer electrode;

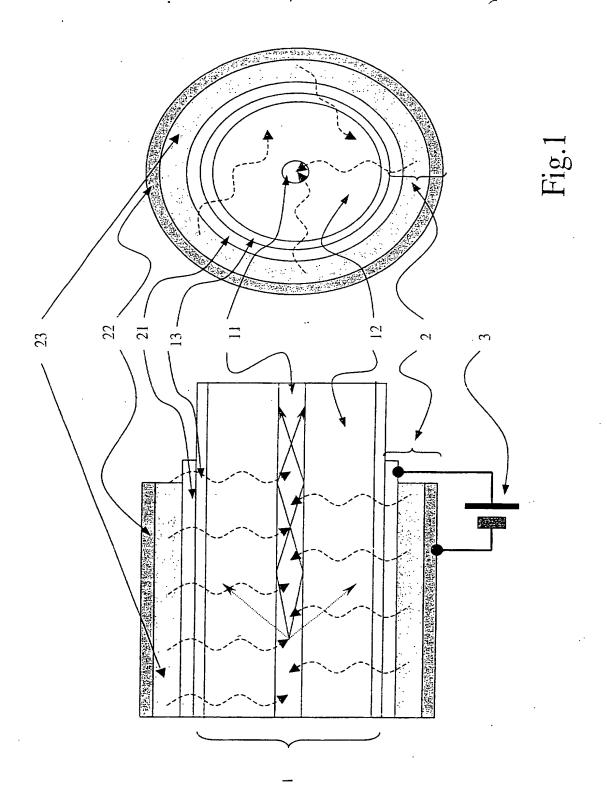
#### wherein

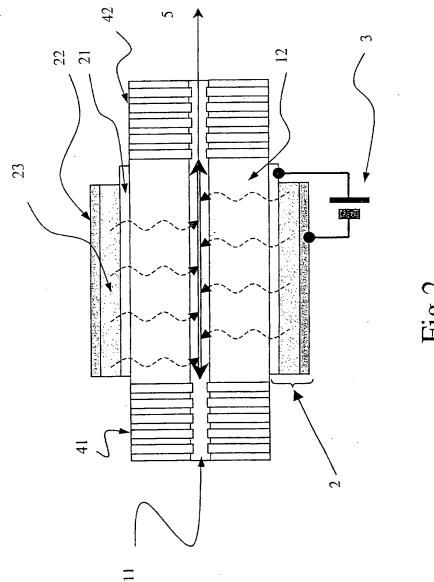
- said light source and said optical cable are integrated;
- 20 said light source has an axial symmetry and is positioned coaxially to said optical cable; and
  - said inner electrode is transparent, such that light, generated in said active area can propagate outside said light source and into said optical cable.

25

(Figure 1)

## THIS PAGE BLANK (USPTO)





F18.7